



Financial Assistance Award

DENALI COMMISSION
510 "L" Street, Suite 410
Anchorage, Alaska 99501
(907) 271-1414

Project Number
0005-DC1999-I3

Accounting Code
95X20095870000 95-67

Recipient Name
Institute of Social and Economic Research (ISER)

Recipient Address

University of Alaska Anchorage
3211 Providence Drive
Anchorage, Alaska 99508

Attn: Dr. Stephen G. Colt

Denali Commission Share of Cost
\$ \$50,000
\$

Recipient Share of Cost
\$ 0
\$

Total Estimated Cost
\$ 50,000
\$

Award Performance Period
From April 1, 2000 to April 1, 2004

Authority
112 Stat 1854

Project Title
Economic Analysis of Pilot AVEC Billing Project

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☐ EDA Standard Terms and Conditions Public Works and Implementations Construction Components, dated 3/99
- ☒ Special Award Conditions and Attachments
- ☒ Line Item Budget
- ☐ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments
- ☐ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments (<http://www.whitehouse.gov/OMB/circulars/a087/a087-all.html>)
- ☐ OMB Circular A-133, Audits of States, Local Governments and Indian Tribal Governments (<http://www.whitehouse.gov/OMB/circulars/a133/a133-all.html>)
- ☒ 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations
- ☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations (<http://www.whitehouse.gov/OMB/circulars/a122/a122-all.html>)
- ☒ OMB Circular A-21, Cost Principles for Educational Institutions (<http://www.whitehouse.gov/OMB/circulars/a021/a021-all.html>)
- ☐ EDA Standard Terms and Conditions Capacity Building Programs
- ☐ Department of Commerce Financial Assistance Standard Terms and Conditions, dated 10/98
- ☐

Signature of Authorized Official - Denali Commission

Typed Name and Title

Date

Jeffrey Staser, Federal Co-Chair

Signature of Authorized Official - _____

Typed Name and Title

Date

Susan Harper, ISER Contracts Manager

**Award Conditions to the Financial Assistance Award
Project No. 0005-DC1999-I3 –
Economic Analysis of Pilot AVEC Billing Project by the
Institute for Social and Economic Research (ISER)**

1. The scope of work for this project includes:

- Evaluate a one-year demonstration program to be carried out by the Alaska Village Electric Cooperative (AVEC) and the State of Alaska Rural Utility Business Advisor (RUBA) Program.

Total Commission funding for ISER through this Agreement is \$50,000; total funding (including from other sources) is \$50,000. Commission funding is intended for use for the scope of work specified above only. In the event there is a balance of funding after the scope of work is completed, then the Commission will determine how the excess funds will be allocated. Shifting of costs between line items of the scope of work may be allowed within 15% of line items, over 15% cost shifts between line items may be allowed with prior written approval from the Commission Project Officer.

2. The Project Officer for the ISER is Dr. Stephen Colt and for the Denali Commission is Mr. Krag Johnsen.
3. The ISER shall submit written progress reports to the Commission Project Officer semi-annually. Interim reports are required in the event of significant project events that may extend the project schedule and/or impact the project budget. Semi-annual progress reports shall include a narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems. These progress reports shall be sent to:

Denali Commission
Attn: Krag Johnsen
510 "L" Street, Suite 410
Anchorage, AK 99501.

The Commission reserves the right, at a future date, to direct the ISER to provide the progress reports in electronic format for posting on the Commission web page.

4. Two forms of project financial reports are required.
- A. Project financial status reports shall be submitted to the Commission Project Officer semi-annually (included as part of the semi-annual progress reports). Included in the semi-annual financial status reports shall be a project performance analysis on budgeted project line items, as defined by the ISER, showing budget costs compared

to actual expenditures and obligations to date versus work performed to date (for each line item).

- B. A final Financial Status Report (Standard Form 269) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, the ISER must annually submit a completed Standard Form 269 within 90 days after the end of each anniversary of the award date.
5. The ISER agrees to comply with the requirements of OMB Circular A-21, "Cost Principles for Educational Institutions."
 6. To receive payment, the ISER must submit a "Request for Advance or Reimbursement", Standard Form-270, to the Commission Project Officer. It is expected that the ISER will forecast by letter or spreadsheet format, Commission funds or working capital advances needed on a monthly (or as needed) basis for each project. This does not preclude more frequent Commission payments, then monthly, given project specific needs. The Commission Project Officer may review all payments to determine if payments made are justified and supported by appropriate records, invoices and other documentation. The Commission reserves the right to prohibit further payments to the ISER, at any time, if discrepancies involving Commission funds arise, until such time that the discrepancies are resolved to the satisfaction of the Commission. Pay requests for ISER administrative costs shall be on a separate Standard Form – 270 (quarterly) from project pay requests.
 7. To the maximum extent feasible, considering applicable laws, the ISER shall accomplish the work of this Agreement utilizing local labor and small, minority owned and disadvantaged businesses.
 8. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.
 9. Attached to these Award Conditions are: *documents that give further definition of what the project is about: budgets, schedules, planning documents, etc.*
 10. Project budget revisions shall be in accordance with 15CFR24.30(c.iii.2). Written request by letter from the ISER Project Officer to the Commission Project Officer for concurrence is necessary for budget revision approval.